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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
109/374,704	08/12/99	BAIRD	E 238/298

LYON & LYON LLP  
603 FIFTH STREET  
SUITE 4700  
LOS ANGELES CA 90017

0242/0901

NOT ASSIGNED

1643

DATE MAILED:

09/01/99

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- 1. This application fails to comply with the requirements of 37 CFR 1.821 - 1.825.
- 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- 4. A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- 7. OTHER: \_\_\_\_\_

**APPLICANT MUST PROVIDE:**

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing."
- An initial or substitute paper copy of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

**FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CONTACT:**

- For Rules Interpretation, call (703) 308-1123.
- For CRF submission help, call (703) 308-4212.
- For PatentIn software help, call (703) 308-6856.

Best Available Copy



Patent  
238/298

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
Peter B. Dervan and Eldon J. Baird ) Group Art Unit: 1643  
Serial No.: 09/374,704 ) Examiner: Not Assigned  
Filed: August 12, 1999 )  
For: INHIBITION OF MAJOR )  
GROOVE DNA BINDING PROTEINS BY )  
MODIFIED POLYAMIDES )

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STATEMENT UNDER 37 C.F.R. § 1.821 (F)

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821 (e), (f) and (g), or § 1.825 (d) and (b) respectively, are the same.

Respectfully submitted,

LYON & LYON LLP

Dated: October 16, 1999

By: Charles S. Berkman  
Charles S. Berkman  
Reg. No. 38,077

633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
Telephone: (858) 552-8400  
Facsimile: (213) 955-0440

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

October 18, 1999  
Date of Deposit

Sarah B. Navarro  
Name of Person Mailing Paper

Sarah B. Navarro  
Signature of Person Mailing Paper



Box SEQ GP 1643

Patent  
238/298

WD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Peter B. Dervan and Eldon J. Baird

Serial No.: 09/374,704

Filed: August 12, 1999

For: INHIBITION OF MAJOR  
GROOVE DNA BINDING PROTEINS BY  
MODIFIED POLYAMIDES

) Group Art Unit: 1643

) Examiner: Not Assigned

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RESPONSE TO COMMUNICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Communication mailed September 1, 1999, Applicants submit herewith the "Sequence Listing" in paper copy and in computer readable form as required under § 1.824 (a).

The Sequence Listing is provided in ASCII text on the accompanying diskette and the Statement Under 37 C.F.R. § 1.821 (f) is also provided. A copy of the Notice to Comply is attached to this Response.

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

55.00 op

10/25/1999 RTSEGAYE 00000118 09:4704

Sarah B. Navarro

Name of Person Mailing Paper

*Sarah B. Navarro*

Signature of Person Mailing Paper

October 18, 1999

Date of Deposit

SD-133840.1

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Please amend the specification by entering the enclosed Sequence Listing. The Sequence Listing was generated from the specification, Table 2, Figures 1, 6 , 8, 11 and 13, and does not constitute new matter.

Pursuant to 37 CFR §1.136, applicants hereby petition for a one-month extension of time. This extension of time is effective to allow timely filing of this response up to and including November 1, 1999.

Enclosed is a check for the extension fee of \$55 as required by 37 CFR 1.17(a). If the enclosed fee is incorrect, please charge or credit our Deposit Account No. 12-2475 for the appropriate amount.

Respectfully submitted,

LYON & LYON LLP

Dated: October 16, 1999

By:

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